



Establishing and validating secured keys for IoT devices: using P3 connection model on a cloud-based architecture

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Abstract

IoT devices are slowly turning out to be an essential part of our everyday lives. These devices perform one operation, and they specialize in doing so. When communicating with these devices, we need to set up a secured key preventing unauthorized communications. We have been using the plug-and-play model for electronic devices for decades. These IoT devices fall into the same realm. The plug-pair-play connection model follows the same principle so that the user does not feel the added pressure of remembering a complex password or rely on a default credential. It helps to generate a secret that is only known to the device and its user. We used elliptic curve cryptography to circumvent the resource limitations on the device. The model establishes a zero-trust pattern where all requests and responses are validated and verified before being processed. This paper provides a unique way to set up a secret key for each user and device pair without much user interaction. The model sets the path to end-to-end secured communication.

Keywords IoT · Security · Zero-trust · Key generation · Plug-and-play · Elliptic curve cryptography (ECC) · Zero interaction pairing (ZIP) · Zero-interaction authentication (ZIA)

1 Introduction

Internet of Things (IoT) has changed the direction of modern technological development. With its intrusive nature, it has already penetrated our lives with wearable devices and smart objects for home automation systems. These devices are dealing with our personal information as well as performing micro-transactions to make our lives easier. With this advantage comes the question of privacy. Establishing a secured communication channel with these devices is crucial.

Users have voiced their privacy concerns with using these devices. There have been numerous experiments to prove that these devices can be easily hacked with readily available equipment [15]. In many implementations, the manufacturers delegate the responsibility of securing the devices to the user by providing default credentials and expecting them to change the password. Malwares like Mirai and EchoBot have

exploited these vulnerabilities to convert them into bots. The author in this article [18] talks about the concept of trust, which very much applies to IoT ecosystems. Establishing a zero-trust framework will help us concentrate more on privacy and security.

Zero-trust is a strategic initiative that helps prevent successful data breaches by eliminating the concept of trust. Rooted in the principle, “never trust, always verify” zero-trust is designed to protect the modern digital environment. It leverages network segmentation, prevents lateral movement, provides threat prevention, and simplifies granular user access control. The zero-trust model recognizes trust as a vulnerability. The concept of zero-trust is particularly important in the heterogeneous ecosystem of smart devices. With the huge growth in the number of connected endpoints, it is difficult to have trust in a request or response that is coming from an unknown source over an untrusted medium.

In this paper, we focused on techniques to set up secured keys for communicating with IoT devices without trusting any entity. We wanted to eliminate the need for default credentials or predefined secret keys.

The *plug-and-play* model has been popular with electronic devices for decades. IoT devices fall in the same genre. Manufacturers have adopted a similar pattern for getting the

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53 device up and running. The paper describes a pairing step,
 54 which establishes a secret for each pair involved in the com-
 55 munication. We termed it the *plug-pair-play* model or the
 56 P3 connection model. Here, we focused on the most popular
 57 architecture for IoT devices, *i.e.* cloud architecture. Concepts
 58 like fog computing have brought the cloud closer to the appli-
 59 ances and services [14]. Cloud architectures can be utilized to
 60 shift the compute-intensive operations away from the device.
 61 In this paper, we explored the techniques to validate the iden-
 62 tity of the user and device using the cloud gateway before
 63 setting up a secret.

64 We organized the article as follows: We start by explor-
 65 ing the common security issues in IoT devices and security
 66 threats related to them in Sect. 2. Here, we also look into the
 67 potential solutions provided by the research community to
 68 secure IoT communications. Then, we explain our P3 con-
 69 nection model in detail in Sect. 3 followed by its usage in
 70 Sect. 4. Then, we briefly discuss the implementation setup
 71 before exploring the performance of the model in terms of
 72 data security, memory utilization, and time of operation in
 73 Sect. 5. We conclude with our findings and the opportunity
 74 for future research in Sect. 6.

75 2 Security issues of the devices

76 From the business reports, we see the phenomenal growth of
 77 IoT devices [5,8]. It was predicted that there will be 5.8 billion
 78 IoT endpoints by the end of 2020, and by 2022 the worldwide
 79 technology spending on smart devices would reach USD 1.2
 80 trillion. The advent of modern technologies like artificial
 81 intelligence, machine learning, and real-time data stream-
 82 ing combined with high-speed connectivity with the cloud
 83 helped businesses look at these devices as a potential solu-
 84 tion to their specific problems. More and more organizations
 85 are relying on them to remodel and optimize their business
 86 needs.

87 With this unprecedented growth in demand for these smart
 88 objects, manufacturers are not getting enough time to per-
 89 form adequate security testing. Smaller players are not even
 90 providing options to patch the vulnerabilities. These issues
 91 are taken advantage of by attackers. Malware like Mirai uses
 92 these loopholes to convert these devices into bots. Perpetra-
 93 tors used such botnets to cause massive DDoS attacks [3].
 94 At its peak, Mirai caused a 1.1 Tbps attack using 148,000
 95 IoT devices. With its source code made public, the number
 96 of infected endpoints has doubled. The attack on Dyn Inc.
 97 DNS servers in 2016 is one of the most notable attacks using
 98 IoT botnet, which brought down the internet for many parts
 99 of the USA as shown in Fig. 1 [9].

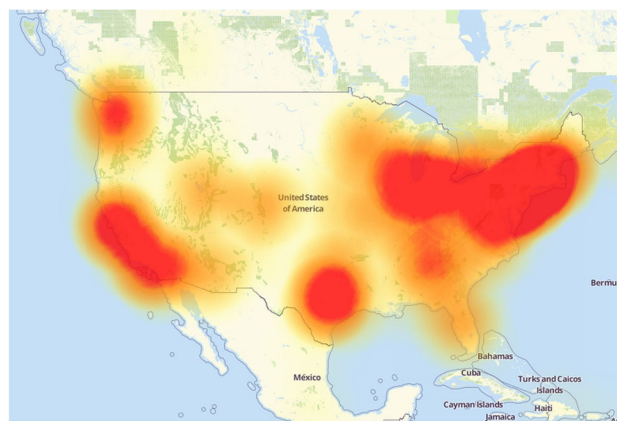


Fig. 1 Attack on Dyn DNS servers brought down the internet in many parts of US

Extensive surveys are conducted to identify the security issues in IoT devices that lead to these massive attacks. One study noted that in ZigBee Light Link (ZLL)-based connected lighting system manufacturers rely on an NDA (non-disclosure agreement) protected shared key to secure communications. Here are the common vulnerabilities of IoT devices that make them an easy target for attackers [4,11,17,19].

- **Resource limitation:** Every research article pointed out that constrained resources in the device are a setback when implementing cryptographic techniques. An attacker might drain the device's memory by sending thousands of requests to the open port in a device.
- **Lack of user authentication:** Limited memory on the device restricts the implementation of complex authentication techniques. Thus, to maintain the legal standards, manufacturers end up using default credentials and commonly shared keys.
- **Inadequate encryption:** Encryption is an effective tool to defuse the data. Thus preventing an unauthorized user from making sense of it. Cryptographic systems depend on the randomness of the algorithm and the key size to effectively morph the data. Due to insufficient storage in the device, it becomes difficult to store large keys. An adversary takes advantage of it by performing a brute force attack to break a smaller key size.
- **Efficient access control:** A proper access control mechanism is not maintained on these devices. Many manufacturers allow the use of default credentials on the device, and the same user is entrusted with admin privileges on it. With higher privilege on the default accounts, the attacker can perform more damage not only to the device but also to the network that they are installed in.

2.1 Proposed solutions to bridge the gap

Creating an identity of a device and its user in a cloud-based architecture is essential in a heterogeneous ecosystem. It forms the baseline to tackle all the security issues that we noticed in the previous section. Researchers have taken different perspectives to solve the problem of identity.

Bluetooth Low Energy (LE) can play a significant role in securing IoT devices. One research showed the potential of using IPv6 over Bluetooth LE [12]. Wireless communication with the device is protected using the Bluetooth LE Link Layer security. This technique supports both encryption and authentication by using the Cipher Block Chaining Message Authentication Code (CCM). OpenConnect proposed to automate the integration of these devices in a cloud-based architecture [13]. The platform uses REST API endpoints to integrate the devices with the central command center. Security of the implementation is inside the integration service. Another research showed an approximation arithmetic computer-based information hiding technique to provide features like IP watermark, digital fingerprinting, and lightweight encryption for ensuring energy efficiency to low power equipment [7].

Researchers came up with multiple proposals to tackle the authentication issue for resource-constrained devices. A certificate-based authentication technique was put forward to redress the problem of password-based authentication [2]. A certificate is awarded to every entity in the system by a trusted certification authority. Another solution was proposed to use a One Time Password (OTP) scheme using elliptic curve cryptography. This solution depends on the Lamport algorithm to secure the generate OTP. Authentication of smart devices using their physical properties was provided as a potential solution for the smart home environment [10]. The security mechanism used in this technique uses a random set of challenges along with symmetric key cryptography.

3 The P3 connection model

Each proposal by the research community provided a unique perspective on the solution. Bluetooth LE is efficient for low-energy devices and provides a much smaller attack vector being a PAN (personal area network) network. Similarly, public-key cryptography helps in providing an identity for an entity in a network. The private-public key pair helps provide authentication and check the integrity of the messages sent. In our proposed solution, we combined these ideas to generate an adequate solution that would work for any resource-constrained device.

In a cloud-based architecture, there are three primary components in the IoT ecosystem:

- **Device** represents the endpoint that specializes in performing a specific task (which we also refer to as an IoT device).
- **User** provides the commands and instructions to the device. In our implementation, we have used a mobile app to work as a user interface. In this model, we have categorized the user group into owners and delegates. Each device can have at most one owner who has total control over it. The delegates represent other users to the device, including another person or a home assistant like Google Home or Amazon Alexa. They can access the device only when the owner approves the pairing. The owner has the right to grant access to a delegate to perform specific operations on a device. Throughout this paper, we have addressed the owner and delegate separately when needed and collectively called them as users on concepts that apply to both.
- **Gateway** works as a middleman provided by the manufacturer to help the user and device to communicate with each other over the internet. It consists of API endpoints that coordinate the communications between them. It also acts as a data store to hold information about users, devices, registrations, and transaction logs. When a new device is manufactured, a record is created in the gateway database. The gateway holds the identity and public key of the device to communicate with it after the initiation. It takes the computation and memory-intensive operations like data analytics and forensics away from the device.

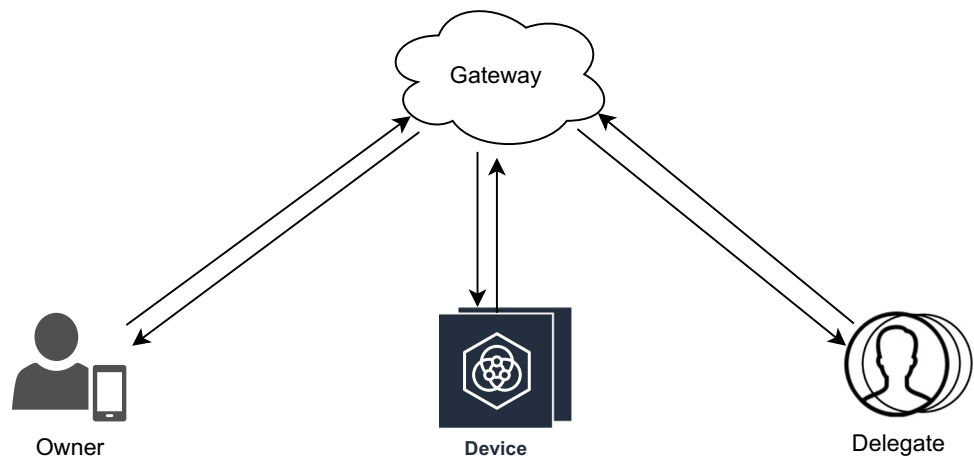
Figure 2 shows the different entities in the proposed architecture. Once the device and user are paired with one another using the P3 connection model, all further communications between them get routed through the gateway for logging the transactions. However, in the P3 workflow, the user directly interacts with the device to set up the secret. This is the only operation where the device and user communicate directly.

In this architecture, we have used a combination of Bluetooth and WiFi technologies to enable a secure communication channel. The P3 connection model uses Bluetooth for pairing the user and device in a secured way. As explained in Sect. 3.2, during the pairing the user provides the WiFi credential for the device to create a registration record in the gateway. Once the pairing is complete and the secret is stored successfully, all further communications happen over WiFi.

3.1 Prebuilt security in the model

As described in the architecture, the user is responsible for providing commands and instructions to the device. The framework comes with a few prebuilt security mechanisms to enable the user to perform its operations. The user is registered with the gateway to generate an identity. An authentication header accompanies all post-login operations.

Fig. 2 Proposed architecture for IoT ecosystem



231 It contains a JWT (JSON web token) token to verify the
 232 identity of the user. All communications from the user to the
 233 gateway are protected using TLS to ensure data security in
 234 transit.

235 To provide authentication to the device and gateway, each
 236 has its public–private key pairs. During the manufacturing
 237 of each device, a unique public–private key pair is generated
 238 for each device. The gateway holds the public key with itself,
 239 and the private key is embedded in the device’s EEPROM.
 240 We used elliptic curve cryptography (ECC) on the device to
 241 respect the resource limitation. ECC is a preferred choice for
 242 public-key cryptography for IoT devices rather than RSA due
 243 to the smaller key size. A 256 bits key can provide the same
 244 level of security as the 2048 bits RSA key. The operational
 245 time for signing and verification is comparable. The private
 246 key verifies the identity of the device to the gateway:

```
247 <device_id,current_timestamp,raw_data>
248 → data <data,Enc{H(data), PrivKeydevice}>
249 → package
```

250 The `raw_data` along with the `device_id` and the
 251 `current_timestamp` of the device forms the data to be
 252 sent to the gateway. The data is hashed and signed using the
 253 private key of the device. This provides both authentications
 254 as well as an integrity check on the data since the private
 255 key is only available to the device. The timestamp protects
 256 against replay attacks. The gateway holds the public key of
 257 the device. On receiving the package, it extracts the data and
 258 verifies the given signature to make sure it is from the device
 259 that it claims to be. The same technique is used when sending
 260 information from the gateway to the device.

261 3.2 Setting up shared key for owner

262 The users of an IoT device can change frequently. It is nec-
 263 essary to generate a key on the first instance the user wants to
 264 interact with the device. This avoids the need for password-

265 based authentication. The shared key can be used to secure
 266 all future communications between the user and device pair.
 267 The same technique can be used to refresh the key at a regular
 268 interval. Figure 3 shows the steps to validate the identity of
 269 the user and device to one another and setting up the shared
 270 key.

271 For connection initiation, we propose using Bluetooth 4.0
 272 or Bluetooth LE [12]. Bluetooth LE has been designed for
 273 ultra-low power applications yet keeping similarities with
 274 classic Bluetooth. All modern mobile phones and smart
 275 devices are enabled with Bluetooth LE. Another reason to
 276 use Bluetooth in setting up secret keys is the area of access.
 277 Since the Bluetooth connection can be established only in the
 278 proximity of the device, the attack vector becomes smaller:

- 279 – **Pairing:** The first step of the connection is the pairing
 280 between the owner’s mobile and the device. The owner
 281 from his mobile app searches to find the available device.
 282 This is easily possible since both the app and the device
 283 are provided by the same manufacturer. The manufactur-
 284 er provides the device with a unique name, and the same
 285 is searched by the app. Once found, the pre-defined
 286 pairing key can be used to connect to the device. In Blue-
 287 tooth, the connection happens between a master and a
 288 slave. In this case, the owner’s phone acts as a master, and
 289 the device acts as a slave. Once the user finds the device,
 290 it pairs with it using the default pairing key embedded in
 291 the app and initiates a connection.
- 292 – **Generate session key:** Curve25519 is an elliptic curve
 293 offering 128 bit of security and designed for use with the
 294 elliptic curve Diffie–Hellman (ECDH) key arrangement
 295 scheme. Here, both the device and owner generate a key
 296 and share the public part. Both generate the session key
 297 K_s using Diffie–Hellman and use it to secure the remain-
 298 ing transactions of the flow.
- 299 – **Connect to Wi-Fi:** Once the session key is established,
 300 the next step is for the device to connect to the internet.

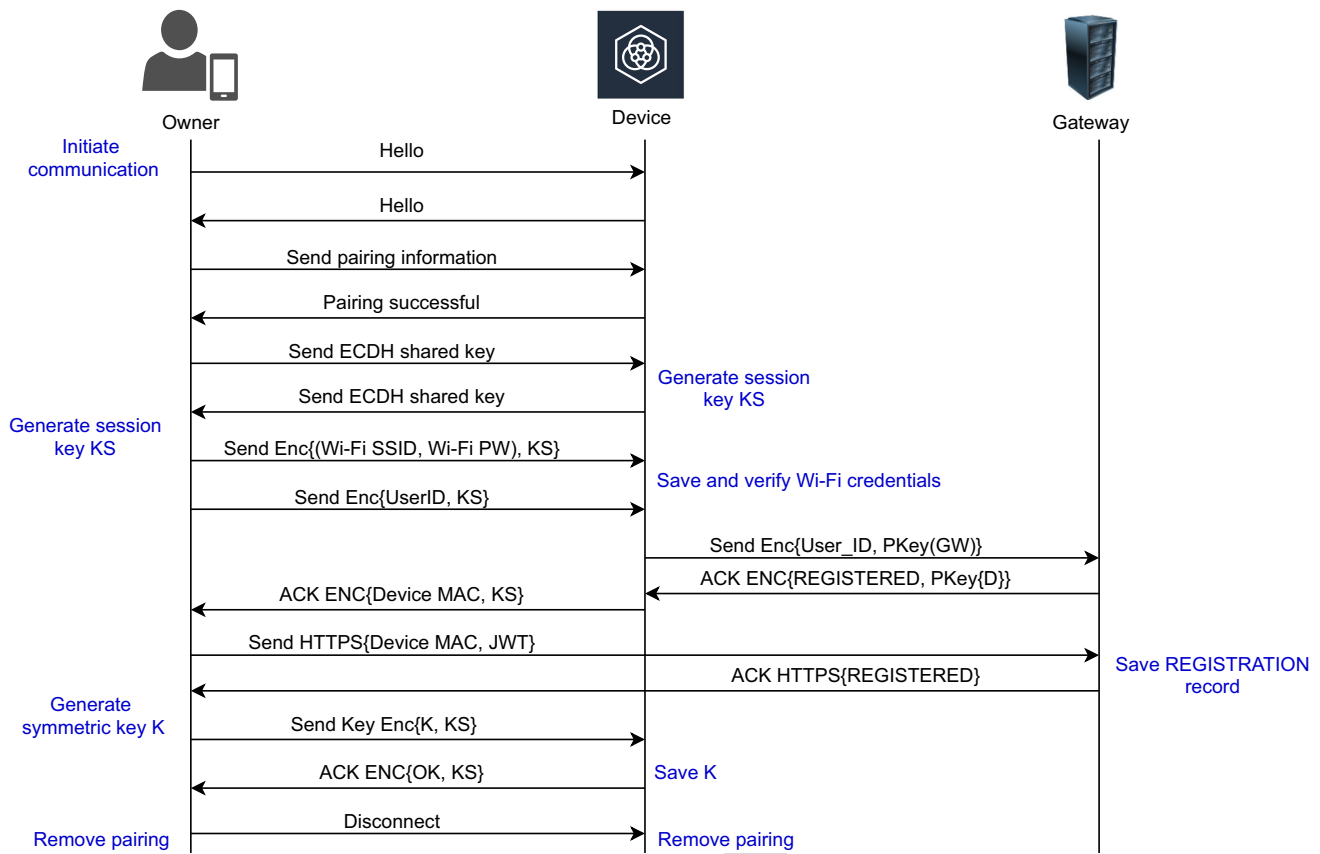


Fig. 3 P3 connection between owner and device

301 For this, the owner sends the Wi-Fi SSID and password
 302 encrypted with the session key $Enc\{<Wi-Fi SSID,$
 303 $Wi-Fi password>, K_S\}$. On receiving this information,
 304 the device tries to connect to the internet and ensures
 305 a successful connection. Once connected, it saves the
 306 information into its memory till the entire process ter-
 307 minates. It returns a “success” to the owner.

308 – **User verification:** After connecting to the internet, the
 309 device needs to verify the identity of the owner. The
 310 owner sends his `user_id` to the device encrypted
 311 $Enc\{user_id, K_S\}$. The devices send this identifier
 312 to the gateway along with the device’s digital signature
 313 for verification. On receiving this information, the gateway
 314 ensures the validity of both the device and the passed user
 315 identifier. On successful verification, it creates a partial
 316 registration record.

317 – **Device verification:** On receiving a green light from the
 318 gateway, the device returns a `device_mac` to the owner
 319 encrypted with the prior session key $Enc\{device_mac,$
 320 $K_S\}$. The owner forwards this information to the gateway
 321 along with the JWT token for user identity. The gateway
 322 verifies the user and then checks the `device_mac` to
 323 verify it against the partial verified registration record.
 324 The gateway also checks to verify that the device is not

325 registered against another owner. Once verified, the gate-
 326 way completes the transaction and returns success to the
 327 user.

- 328 – **Generate and share the symmetric key:** On receiving a
 329 positive response, the owner generates a 256 bits symmet-
 330 ric key along with a 128 bits initialization vector, saves
 331 it locally, and shares it with the device $Enc\{K, K_S\}$. The
 332 device saves the same along with the user identifier rec-
 333 ognizing it as the owner and acknowledges the user that
 334 the key is saved securely. The device also saves the Wi-Fi
 335 credentials in permanent storage.
- 336 – **Disconnect:** The Bluetooth interface is only used to help
 337 connect and verify the user and device. Once this con-
 338 nection is established, there is no need to hold on to the
 339 connection. The owner initiates a disconnect request and
 340 the device complies.

341 As mentioned before, the gateway acts as a data store. It
 342 saves the registration record for command execution. After
 343 the shared key is generated and saved by the device and
 344 owner, future communications can be secured using this key.
 345 When the transaction goes over the internet, the gateway acts
 346 as a middleman to connect the two parties. In doing so, the
 347 gateway verifies the validity of the transaction using the reg-

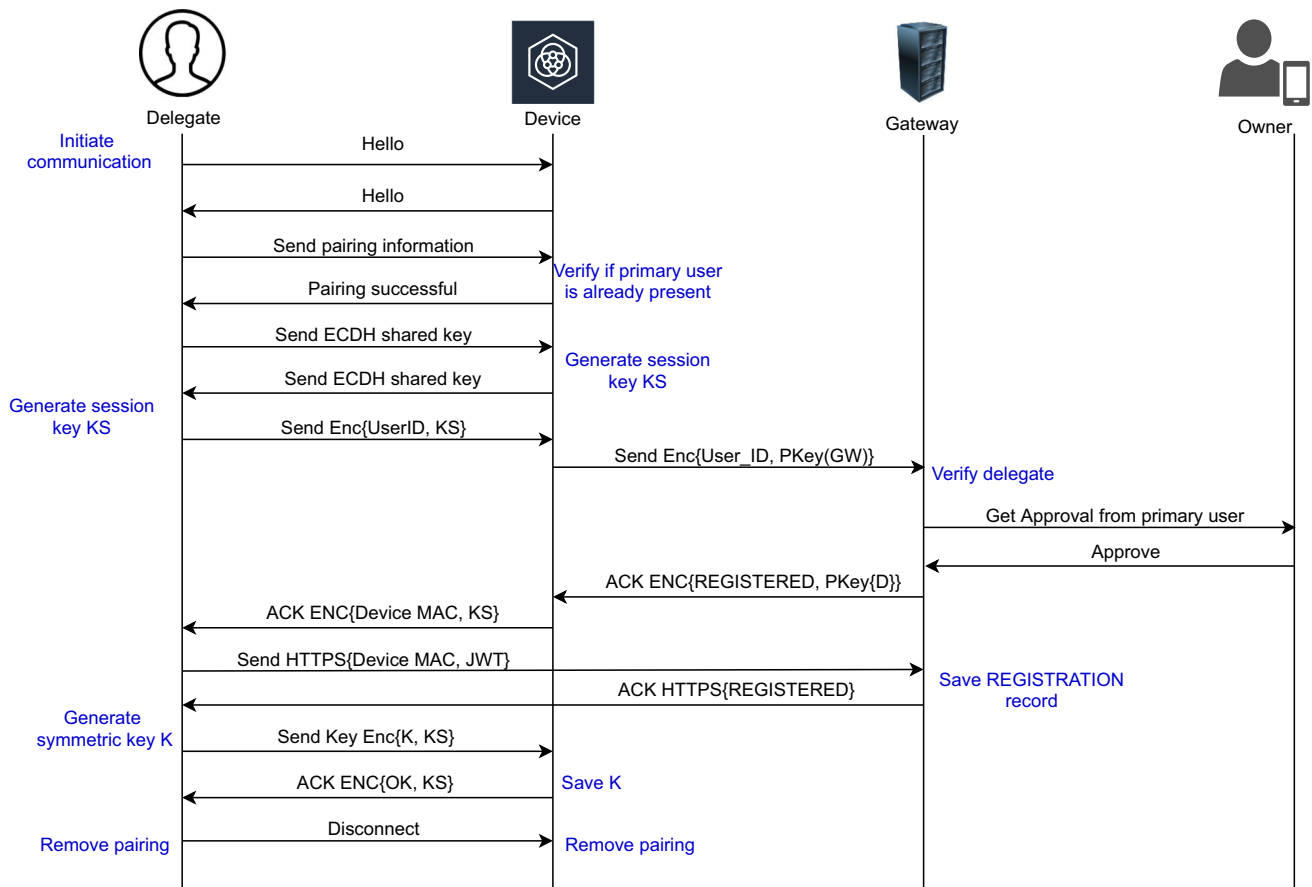


Fig. 4 P3 connection between delegate and device

348 istration record that was generated during the P3 connection
 349 model. The registration record provides access control on
 350 who can access which device. However, the gateway can-
 351 not interpret the data exchange between the owner and the
 352 device.

353 3.3 Setting up shared key for delegate

354 In the previous section, we described the process where the
 355 device is being connected for the first time and there is no
 356 prior owner added to the device registration. Here, we will
 357 describe the situation where the device is already registered
 358 with an owner. When another user or device wants to com-
 359 municate with the device, the owner should be aware of it.
 360 The P3 connection model accounts for this scenario.

361 The steps for a delegate to connect to the device are
 362 detailed in Fig. 4. The steps are similar to the connection
 363 with a user as described in Sect. 3.2. The user verification
 364 process is different for them. When a delegate initiates a
 365 connection with a device and the device sends the user's
 366 identifier to the gateway for verification, the gateway
 367 checks the registration records and finds that there is an
 368 owner already assigned to the device. The gateway notifies
 the owner in the app asking

369 for approval to create the partial registration record. Once the
 370 owner approves, the transaction continues the same as for the
 371 user.

372 If the owner rejects, the transaction is terminated. This
 373 ensures that the owner is in control of the device and can
 374 track who has access. In this article, we concentrated strictly
 375 on secure communication protocols. We have provided equal
 376 authorization for all delegates. Another approach to have a
 377 fine-grain control on the delegates is to implement role-based
 378 access control (RBAC). That would give more control to the
 379 owner and they can define what operations can be performed
 380 by a delegate.

381 This approach gives an option for the owner of the device
 382 to intervene as to who can talk to the device. The secret key
 383 generated in the P3 connection model identifies each pair of
 384 user and device. This process eliminates the need to have a
 385 default credential or predefined secret. This process works
 386 in the background, and the user does not have to configure
 387 or remember any additional details to enable security. It also
 388 plays well with the plug-and-play paradigm that the users are
 389 well accustomed to.

4 Using the secret key for communication

The internet is an untrusted medium. When communication flows from one system to another, it goes through multiple routers, and it is practically impossible to secure every one of them from being wiretapped. To maintain the confidentiality of information between each user and device pair, we would be utilizing the shared key K generated in the P3 connection model described above.

Various techniques have been utilized over the year by the industry to communicate with the device. One of the most common patterns is the heartbeat approach. In this, the device sends out a pulse at a regular interval to the gateway to indicate that it is active and functioning. If the gateway receives a message from a user for a device, the gateway utilizes this pulse to forward it. Once the secret key is generated between the user and device using the P3 connection model described in Sect. 3, it becomes easy to maintain confidentiality and integrity.

The user sends out a command to the device encrypted using K and the JWT token to identify itself to the gateway. The gateway identifies the user and the registration record. It sends the request to the device along with the user's identifier. The device verifies the gateway's certificate to authenticate the sender and then extracts the key using the user's identifier. The device uses K to decrypt the command. Then, it formulates the response and encrypts it with the same key. It sends it back to the gateway, which returns the encrypted message to the user. On a similar approach, the user decrypts the response using K and completes the cycle.

One of the advantages of utilizing the key is that the command and device response is hidden from everyone including the gateway. Every pair can securely communicate with each other. The P3 connection model helps generate a key in an automated way and can help maintain privacy during communication.

5 Performance of model

A temperature and humidity sensor was build using a NodeMCU v3 ESP8266 microcontroller to implement the model. A DTH-22 sensor recorded the reading of the environment, and an HC-05 Wireless Bluetooth RF transceiver acted as a Bluetooth communication endpoint. We added a UCTRONICS 0.96 inch OLED module for the device display. The setup helped us simulate a low energy IoT device with its 512 KB of EEPROM storage, 64 KB of instructional RAM, and 96 KB of data RAM. The gateway was set up on AWS API Gateway using lambda functions to support the REST calls. We stored the user registration using AWS Cognito service, and DynamoDB acted as a data storage for the

gateway. The user was simulated using a mobile app build using React native on an Android platform.

For validating the performance of the model, we focused on three primary aspects, namely data security, operational time, and device memory utilization.

5.1 Data security

The framework proposes a security model that can seamlessly work in the background and protect the user's privacy without manual intervention. In the mentioned architecture, we used different cryptographic techniques that enhance the strength of the platform respecting the limitations available.

In the gateway, we used the TLS certificate to protect all communications directed towards it. The API gateway provided by the cloud providers is by default associated with HTTPS endpoints. We utilized this setup to our advantage. Figure 5 shows the Wireshark output showing the encrypted communication from the device. Both the user and device utilize the API endpoints that are exposed publicly by the gateway during verification. The device stores the fingerprint of the certificate in its storage and uses it to perform the three-way handshake. The app framework provides the same facility for mobile devices.

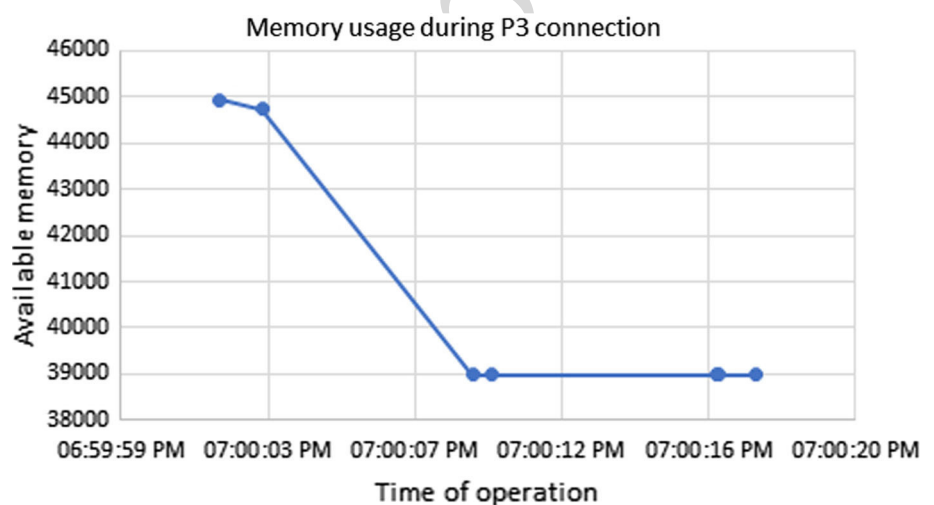
For the device, having an RSA certificate was expensive. The certificate would consume 2048 bits and would need an additional 1024 bits for the private key. To compensate for space and maintain the same level of secrecy, we utilized elliptic curve cryptography (ECC) with 256 bits key length. We used ED25519 as the choice of asymmetric cryptography to create the device identity. We enabled the device with a signing key, and the corresponding verification key was kept available to the gateway. When communication generates from the device to the gateway, the information was signed using the signing key. The gateway used the verification key to verify the identity of the device. The public-private key pair helps create an identity for each device.

For the user to communicate with the device, the P3 connection model helps set up a shared K . This key protects all communications between the paired user and device. We used symmetric key encryption to make the cryptographic process faster. We utilized AES 256 as the choice of encryption technique with a 128-bit initialization vector (IV) for CBC mode. It made the encryption processes faster when comparing to asymmetric encryption. Each of the secret keys is maintained by the respective user and device preventing any unauthorized access. This key is kept only with the entity that participated in the P3 connection. As explained earlier, after the secret is established, the user talks to the device via the gateway. The gateway uses the JWT token present in the user session to verify the identity of the user, and also sends the user identifier to the device to extract the correct key.

| No. | Time | Source | Destination | Protocol | Length | Info |
|------|------------|-----------------|-----------------|----------|--------|--|
| 6278 | 255.222317 | 192.168.137.1 | 192.168.137.182 | DHCP | 344 | DHCP Offer - Transaction ID 0x5604d8f8 |
| 6280 | 255.256814 | 192.168.137.1 | 192.168.137.182 | DHCP | 344 | DHCP ACK - Transaction ID 0x5604d8f8 |
| 6284 | 255.651547 | 192.168.137.182 | 192.168.137.1 | DNS | 106 | Standard query 0x0001 A pbiuhtxv11.execute-api.us-east-1.amaz |
| 6286 | 255.700903 | 192.168.137.1 | 192.168.137.182 | DNS | 170 | Standard query response 0x0001 A pbiuhtxv11.execute-api.us-east-1.amaz |
| 6287 | 255.707238 | 192.168.137.182 | 13.226.182.84 | TCP | 62 | 63846 → 443 [SYN] Seq=0 Win=2144 Len=0 MSS=536 SACK_PERM=1 |
| 6288 | 255.737778 | 13.226.182.84 | 192.168.137.182 | TCP | 62 | 443 → 63846 [SYN, ACK] Seq=0 Ack=1 Win=29200 Len=0 MSS=1460 SACK_PERM= |
| 6289 | 255.742235 | 192.168.137.182 | 13.226.182.84 | TCP | 54 | 63846 → 443 [ACK] Seq=1 Ack=1 Win=2144 Len=0 |
| 6290 | 255.782187 | 192.168.137.182 | 13.226.182.84 | TLSv1.2 | 305 | Client Hello |
| 6291 | 255.820582 | 13.226.182.84 | 192.168.137.182 | TCP | 54 | 443 → 63846 [ACK] Seq=1 Ack=252 Win=30016 Len=0 |
| 6292 | 255.822902 | 13.226.182.84 | 192.168.137.182 | TLSv1.2 | 590 | Server Hello |
| 6293 | 255.822975 | 13.226.182.84 | 192.168.137.182 | TCP | 590 | 443 → 63846 [ACK] Seq=537 Ack=252 Win=30016 Len=536 [TCP segment of a |
| 6294 | 255.823072 | 13.226.182.84 | 192.168.137.182 | TCP | 590 | 443 → 63846 [ACK] Seq=1073 Ack=252 Win=30016 Len=536 [TCP segment of a |
| 6295 | 255.823134 | 13.226.182.84 | 192.168.137.182 | TCP | 590 | [TCP Window Full] 443 → 63846 [PSH, ACK] Seq=1609 Ack=252 Win=30016 Le |
| 6296 | 255.831509 | 192.168.137.182 | 13.226.182.84 | TCP | 54 | 63846 → 443 [ACK] Seq=252 Ack=1073 Win=1072 Len=0 |
| 6297 | 255.832542 | 192.168.137.182 | 13.226.182.84 | TCP | 54 | [TCP ZeroWindow] 63846 → 443 [ACK] Seq=252 Ack=2145 Win=0 Len=0 |
| 6298 | 255.879309 | 192.168.137.182 | 13.226.182.84 | TCP | 54 | [TCP Window Update] 63846 → 443 [ACK] Seq=252 Ack=2145 Win=536 Len=0 |
| 6299 | 255.886040 | 192.168.137.182 | 13.226.182.84 | TCP | 54 | [TCP Window Update] 63846 → 443 [ACK] Seq=252 Ack=2145 Win=1072 Len=0 |
| 6300 | 255.886777 | 192.168.137.182 | 13.226.182.84 | TCP | 54 | [TCP Window Update] 63846 → 443 [ACK] Seq=252 Ack=2145 Win=1608 Len=0 |

Fig. 5 Wireshark logs showing use of TLS

Fig. 6 Memory usage during P3 connection model



488 The P3 model can also be utilized to refresh the keys at a
489 regular interval.

490 5.2 Memory utilization

491 As we had seen in Sect. 3, the P3 connection model has six
492 steps. We tracked the operational time for each of those steps
493 using an inbuilt ESP library. We created a wrapper around the
494 *ESP.getFreeHeap()* function to print the available memory on
495 the Arduino console:

```
496 //Function to print current memory usage
497
498 void availableMemory(){
499   Serial.print("Memory available: ");
500   Serial.println(ESP.getFreeHeap());
501 }
```

502 Figure 6 shows the memory utilization of the model. As we
503 notice, in the first two steps of getting the hello message and
504 sending an encrypted hello reply, the device uses only an
505 extra 200 bytes. In the next section, we see a drop of five

506 kilobytes to decrypt the message sent by the user containing
507 the Wi-Fi credentials. Post that we do not see any further
508 change in memory usage. The process utilizes around 60%
509 of the available data memory of the device to perform the
510 different steps of the model. The memory gets released for
511 other operations after the function is terminated.

512 5.3 Operational time

513 Time of operation becomes vital when it comes to user inter-
514 actions. For any request generated by a user, they expect a
515 fast response. In the P3 connection model, we wanted the
516 operations to be optimized. From the CloudWatch logs in
517 AWS, we see that the operation time for the lambda func-
518 tions (that are behind the API endpoints) takes 170 ms to
519 create the partial registration record and 193 ms to complete
520 the registration process. Table 1 shows the execution time on
521 the device.

522 As we see in the table, it takes around 14 s to complete the
523 whole operation. User verification takes a maximum time

Table 1 Operational time for each step in P3 connection model

| Operation | Time (ms) |
|--|-----------|
| Pairing and generating the session key | 1210 |
| Connect to Wi-Fi | 3249 |
| User verification | 6527 |
| Device verification | 2032 |
| Generate and share the symmetric key | 1140 |
| Total | 14,158 |

of 6 s to communicate with the gateway. We will have to consider the cold start of the lambda functions. Cold start happens when the lambda executes for the first time when no other instances exist. The lambda is brought in the server memory for processing for the first time. We built the application with buffer time for unforeseen situations like network delays. For setting up a connection, the user app gave the device 5 s to respond. As we see in the table, it took around 1.2 s to respond. Similarly, for allowing the user to enter the WiFi credentials, the device waits for 60 s (1 min). The timing is not the most optimized but within the acceptable range, considering the entire validation and verification process before establishing the secret key.

6 Conclusions

The P3 connection model provides a groundwork for ensuring a secured communication channel with the IoT devices. The process can seamlessly integrate millions of users and devices. The framework provides the first step for an end-to-end security model that relies on the principle of zero-trust. More research in the area of zero-interaction authentication (ZIA) can provide the required solution to protect the privacy of data [6]. In our P3 connection model, we have expanded the idea of using a Bluetooth connection to perform the pairing. We can utilize LTE or cellular network, and many researchers are looking at potential alternatives like cellular IoT [16], and LPWAN [1] technologies to avoid the dependency of home routers and the risks associated with their hardening. These technologies expand the scope of IoT implementation to multiple sectors like healthcare, industrial use, and other large-scale implementations.

The threat to the IoT devices is real and with the growing number of IP-connected devices, the attack vector is ever-increasing [4]. We can build trust among the users by eliminating trust from the security framework. The P3 connection model described in this article provides a mechanism to securely set up a secret key for the parties to communicate. Both the parties involved in the conversation are verified by the other to eliminate the threat of unauthorized access.

The model shows the technique to provide a secure channel of communication respecting the limitation of memory and computing power of the device. The same technique can also be utilized to refresh the shared key on a regular interval to avoid side-channel attacks to predict the key.

The technique described here helps maintain the security triad of integrity, confidentiality, and authentication. The secret key generated by the user and device will protect the confidentiality of the data from other parties including the gateway. The model ensures that the gateway or cloud server is not able to interpret the information exchange between the user and the device. Generating a unique key for each pair ensures authentication. The device explicitly knows whom it is communicating with; also separating the primary owner from other users (delegates) enables accountability to the owner. The model demonstrates the importance of access control on the device by the user. Data integrity is enforced by the data format that is being transacted with. After decryption, if the data is not in the correct JSON format the request is rejected. This process establishes the foundation for a zero-trust model, that works on the principle “never trust, always verify.” Every request and response is verified for authentication and authorization before any other action is taken.

IoT is the next breakthrough in the world of technology. These devices perform one specific operation, but it is specialized in doing it. They are slowly turning out to be an essential part of our everyday lives. With home automation systems and home assistants on the rise, we are starting to communicate with these devices with natural language and they are also transacting with our personal and financial data. However, this is just the tip of the iceberg for the potential of these gadgets. Proper security infrastructure is essential to control the activities of these devices. To ensure security and privacy P3 connection approach provides a zero-trust architecture that will verify the authenticity of every transaction.

Declarations

Conflict of interest The authors declare that they have no conflict of interest.

Animals performed This research does not contain any studies with human participants or animals performed by any of the authors.

Informed consent Informed consent was obtained from all individual participants included in the study. (The authors were the only individuals who participated in the study.)

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Author Proof

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